

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

McKirchy, Karen A.

SERIAL NO

10/075,730

FILED

February 14. 2002

TITLE

METHOD AND APPARATUS FOR PROVIDING INSTRUCTIONAL

HELP AT MULTIPLE LEVELS OF SOPHISTICATION IN A

LEARNING APPLICATION

Grp./A.U.

2173

Examiner

Vu, Kieu D.

Conf. No.

2691

Docket No.

P02293US02

# DECLARATION OF PRIOR INVENTION IN THE UNITED STATES OR IN A NAFTA OR WTO MEMBER COUNTRY TO OVERCOME CITED PATENT OR PUBLICATION

(37 CFR 1.131)

AND TO PRESENT EVIDENCE OF SECONDARY INDICIA OF NON-OBVIOUSNESS (37 CFR 1.132)

# I, Karen A. McKirchy, declare:

## A. PURPOSE OF DECLARATION

- 1. This declaration is to (a) establish completion of the invention in this application in the United States, at a date prior to May 22, 1996, that is the effective date of Cook et al. U.S. Patent 5,727,950, that has been cited by the Examiner in the Office Action of January 13, 2005, and (b) present evidence of secondary indicia of non-obviousness.
- 2. The person making this Declaration is the inventor, Karen A. McKirchy.

# B. FACTS AND DOCUMENTARY EVIDENCE

3. To establish the date of completion of the invention of this application, the following attached documents are submitted as evidence:

Exhibit A: Declaration of Karen McKirchy, of record in grand-parent application U.S. Ser. No. 08/740,256 (filed with the USPTO by certificate of mailing on June 12, 1998) (4 pages) (and incorporated by reference herein).

Exhibit B: Copy of U.S. Copyright Registration TX-4-404-689, with copy of deposit (3 pages).

Exhibit C: Copy of U.S. Copyright Registration TX-4-428-886 (2 pages).

- 4. From these documents, it can be seen that the invention in this application was made at least by the date of May 22, 1996, which is a date earlier than the effective date of the reference.
- 5. Specifically, the documents of Exhibits A-C show the following concepts from the claims of the application:
  - (a) A model of the two-levels of sophistication learning method was created at least as early as 1995 and was presented in tangible form in January of 1995, both earlier than May 22, 1996 (See, e.g., Exh. A, page 2, ¶ 9 and Exh. B—which is a copyright of a tangible expression of the model and lists a 1995 creation date). Note that page 3 of Exh. B, the deposit to the U.S. Copyright Office specifically shows how information can be presented to the user in either "Huh or Plain" context (one level of sophistication) or in "Tell me more of Enhance" (a second level of sophistication).
  - (b) An actual implementation of the information model of Exhibit A was published on October 26, 1995, earlier than May 22, 1996 (See, e.g., Exh.

C, a copyright registration of a CD-ROM database used in learning applications and listing a 1995 creation date and an October 26, 1995 publication date).

## C. DILIGENCE

- 6. From the time of conception, to a time just prior to the date of the reference, applicant diligently moved towards a prototype and filing of applicant's application identified in the caption of this declaration.
- 7. Exhibit A is a copy of a declaration of Karen A. McKirchy filed with the USPTO in 1998, but verifying events prior thereto, including an itemized listed of activities to move the conception of the invention, discussed above, to both an actual and construction reduction to practice.
- 8. In particular, Exhibit A, ¶¶ 8-9 discuss the conception of the invention, and ¶¶ 10-26 outline actions taken thereafter to develop and implement the concept, culminating in the shipment of a product with the invention reduced to actual practice on October 26, 1995; that is including a version of the two level of sophistication learning assistance illustrated in the information model of Exhibit B.
- 9. The invention was licensed to the U.S. Army for use in 65,000 (sixty-five thousand) CD-ROMs for seven of the largest Federal Agencies.
- 10. Thereafter my invention has been licensed for use in eight "Desk References" (web site based) to the Federal Government in a project supported by eleven U.S. Government Agencies.

- The "Desk References" and associated sites are used as e-learning, performance 11. support, and knowledge management by the Federal Government and its contractors and grantees.
- The "Desk References" and associated web sites currently average 3,000,000 12. (three million) hits per year relative to licensed persons accessing and using the invention.

#### TIME OF PRESENTATION OF THE DECLARATION D.

This declaration is submitted prior to final rejection. 13.

#### E. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true 14. and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Tile 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

#### F. **SIGNATURE**

15.

### Inventor

Full name of first inventor Karen A. McKirchy

Inventor's Signature

Attachments to Declaration: Exhibits A-C

DOCKER NO. 96M K001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: SERIAL NO:

Karen A. McKirchy

GROUP NO: 2773

NO: 08/740,256

EXAMINER: Huynh-Ba

WW30AR PT ED:

October 25, 1996

METHOD AND APPARATUS FOR PROVIDING INSTRUCTIONAL HELP, AT MULTIPLE LEVELS OF SOPHISTICATION, IN A

LEARNING APPLICATION

DECLARATION OF KAREN A. MCKIRCHY

Assistant Commissioner For Patents Washington, D.C. 20231

I, Karen A. McKirchy of 550 23rd Street, #6, Bettendorf, Iowa 52722, declare:

- 1. I am the applicant of the above-identified patent application and executed the declaration that was filed with this patent application.
- 2. I am personally familiar and have personal knowledge of the facts and circumstances surrounding the development of the invention disclosed and claimed in the patent application.
- 3. For 22 years, I have been involved with human resources and training. Since 1988, I have also been involved with and gained experience with multimedia computer products. I have given seminar presentations on the topic.
- 4. I am president and operate a company that is in the business of a variety of things, including development and production of electronic learning products. I am the President of the company, which is McKirchy & Co., Inc. of Bettendorf, Iowa.
- 5. For some time prior to late 1994, I had been active in the area of computerized multimedia. I had informal contact with others in the field of endeavor and exchanged general information about the computerized multimedia area. Some of these contacts included certain persons in the Army

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

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EXH. A

Engineering College at Rock Island, Illinois. Rock Island, Illinois is a part of the same metropolitan area as Bettendorf, Iowa. Some of the informal exchanges of information were at the Army Engineering College; some were at my offices at McKirchy & Co.

- 6. In late 1994 and very early 1995, I was at the AMEC Multimedia lab, an experimental lab of the U.S. Army Management Engineering College, Rock Island, Illinois, and shown some early experimental work on an experimental instructional program that presented textual information for a user to learn on a computer. There was discussion of using a voice to narrate the text to the user.
- 7. The experimental instructional program related to teaching government employees what is called activity based costing.
- 8. I was intrigued by the concept I had been shown at AMEC. At my office I began to think of better ways to present instruction to a learner in multimedia settings that have the capability of audio, video, graphics, etc. After that a development of the invention of my pending patent application occurred.
- 9. On or about January 11 or 12, 1995, I was at AMEC. A diagrammatic representation of the AMEC instructional program was on a white board. I mentioned that I had been developing the idea for a supplemental way to assist learning in such a program and added, in diagrammatic form, my idea to the white board drawing.
- 10. On January 24, 1995, McKirchy & Co. entered into a consulting contract with the AMEC Multimedia Lab to assist in the development of a CD-ROM based on the early experimental instructional program. McKirchy & Co. was hired to handle the content of an instructional program experimental mock up. The mock up was to have one topic of instruction. The contract number was DAAA-0895-M-1353.
- 11. An early crude mock up of a proposed product was put together about February 1, 1995. The mock up included the prior concept of narrated text for the experimental instructional program, and for a rough form of my experimental learning assistance invention. Its purpose was to provide a very crude example as a way of demonstrating the potential idea to see if funding of further research and development for such a project could be obtained. It was displayed at a conference limited to government employees who would use the instructional program in order to get feedback from potential users whether training and instruction of this type appeared to make sense. The mock up was not in CD form. Rather it primarily consisted of mock ups of opening screens with crude graphics and one topic of instruction.
- 12. In March 1995, McKirchy & Co. developed and began testing a computer program which processed information into a form that better produced the learning assistance information to be used with the experimental instructional program that was to include my invention of my patent application. This

additional program was conceived by me and is proprietary to my company and enhances the ability to create and develop the experimental instructional program and the learning assistance invention.

- 13. Prototypes and rough experimental versions of the instructional program were worked on at the lab. Prototypes and rough experimental versions of my computer program were worked on at my office.
- 14. McKirchy & Co. entered into a contract to develop the content for more topics on March 30, 1995. This contract number was DAAA-08-97-M-2201.
- 15. McKirchy & Co. entered into a third contract on May 26, 1995 for additional support work for audio and video and other miscellaneous tasks for this same experimental instructional program. This contract was DAAA-08-95-M-3024.
- 16. I worked with the lab on refinements to my invention and to the overall product through the summer of 1995.
- 17. The only persons working in the lab on this project along with me were Walt Lilius, project manager, and Larry Rose, an assistant.
- 18. In July 1995, it was decided that testing was needed with actual potential trainees to see if the idea had any validity.
- 19. On or about August 2, 1995, one hundred-fifty CD-ROM's were pressed with a prototype of the experimental instructional program with my invention included for the purpose of testing.
- 20. The test sites were government agencies GSA, DHHS and FAI. It was also reviewed at Rocky Mountain BankCard Systems, the contractor whose product was discussed in the training.
- 21. Not all of the one hundred fifty CD-ROMs were distributed.
  All CD-ROMs were logged and returned to the lab.
- 22. The lab kept feed-back from the test site users. Originally, the feed-back was in the form of e-mails, faxes and phone calls to the lab with the test site users' comments.
- 23. I was given summaries of the feed-back of the testing. I also participated in discussing the feed-back and making decisions as to what changes should be made to the instructional program and to my learning assistance invention and what feed-back should be acted upon.
- 24. This round of testing was completed by mid-August, 1995 and changes were implemented. Results were recorded and reviewed by the lab and myself.
- 25. Further testing inside the lab occurred until it was decided to put the product into the field where continued user testing would occur on a deployed product.
- 26. On October 26, 1995, the initial order of CDs was shipped to GSA from the AMEC Multimedia lab for distribution to its customer service centers. The customer service centers would

then distribute that product to the end user in the Government, which occurred when GSA would make the CDs available for purchase through the GSA catalogs. It was sometime in November 1995 or early December 1995 at the earliest before the first distribution occurred from any of the customer service centers.

- 27. While this distribution was occurring, work began on another product. I entered into other contracts to create the instructional content of this product. This product utilized my invention.
- 28. The second product went into Beta testing, feedback was received and changes were made, and it was distributed inside the Government in March, 1996. It contained a bug and was redistributed in May 1996.
- 29. A third product was distributed inside the Government in August 1996. This product was a customization of the first product and utilized my invention.
- 30. The Government has recognized my intellectual property as not part of contract requirement or contract performance and has licensed it and the proprietary software program of McKirchy & Co. in contract number DAAA0897M0456.
- 31. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Karen A. McKirchy 550 23rd Street, #6 Bettendorf, Iowa 52722

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TX 4-404-689



This Certificate issued under the seal of the Copyright Office in accordance with title 17, United States Code, REGISTI attests that registration has been made for the work identi-

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